

# THE SCHOOL OF ECONOMICS AND MANAGEMENT

# Deliberation No. 2348/2021

# GENERAL RULES AND REGULATIONS GOVERNING THE PhD STUDY CYCLES AT ISEG

## **CHAPTER I**

# **General Rulings**

## Article 1

## Object

- 1. The object of these Rules and Regulations are the PhD study cycles, based on the legislation in force and the Postgraduate Studies Regulation of Universidade de Lisboa (REPGUL).
- 2. These Rules and Regulations cover those PhD study cycles which are the exclusive responsibility of ISEG and which are registered at the DGES and accredited by the Higher Education Assessment and Accreditation Agency (A3ES).
- 3. These Rules and Regulations also cover students from other PhDs that are taught in association or in collaboration with other universities, particularly for subjects related to writing a thesis which was registered at ISEG.

## Article 2

## Organisation of the PhD study cycle

1. The PhD study cycles consist of:



- a) Completing the PhD course work over two semesters, with an equivalent study workload for the student of 60 credits;
- b) Writing an original thesis, or the equivalent work, which is specific to the PhD degree and which is coherent with the nature of the field of knowledge, the participation in seminar units of the respective syllabus, when they exist, and also tutorial supervision, all of which is equivalent to 120 credits.
- 2. The PhD study cycles are hosted by ISEG research centres, which are accredited and positively evaluated under the terms of the Law.

## **CHAPTER II**

## Monitoring and Follow-up

#### Article 3

## Monitoring and Follow-up by the Pedagogic and Scientific Boards

- 1. The monitoring and follow-up which is referred to in Article 3 of REPGUL is carried out in the following way at ISEG:
- a) ISEG's Scientific Board is responsible for the management, coordination, and assessment of the study cycles;
- b) For each PhD, ISEG's Scientific Board nominates a Scientific Committee for the study cycle, which is comprised of a Coordinator for the PhD, and at least two other professors;
- c) For those PhDs taught in association, ISEG's Scientific Board nominates the representatives from ISEG who participate as members of the respective Scientific Committee.
- 2. For the pedagogic monitoring and follow-up of post-graduate studies referred to in Article 3 of REPGUL, the Scientific Committee of the PhD also functions as a Monitoring and Follow-up Committee, in synchronisation with ISEG's Pedagogic Board.
- 3. It is possible to appeal to ISEG's Scientific Board regarding deliberations made by the Scientific Committee.



## The Scientific Committee

- 1. The Scientific Committee of the study cycle will be in charge of all tasks related to teaching content and performance assessment, the assignment of ECTS, the selection of applicants, contacts with PhD students and their supervisors, the organisation of the PhD's seminars, the monitoring and follow-up of theses, and the preparation of proposals for the selection of the members of examination panels.
- 2. In the case of PhDs taught in association with other universities, those members appointed by ISEG's Scientific Board to participate in the respective Scientific Committees will ensure the implementation of the guidelines and deliberations of ISEG's Scientific Board, with the aim of maintaining common quality standards for all the post-graduate teaching activities in which ISEG participates.

#### **CHAPTER III**

# Admission for the study cycle

#### Article 5

## Access and admission conditions

- 1. Applicants who meet one of the following requirements may apply to study for a PhD:
- a) Hold a Masters or legal equivalent in the relevant areas for each ISEG PhD;
- b) Have a Bachelors degree, or legal equivalent in the relevant areas for each ISEG PhD;
- c) Possess a university, scientific, or professional curriculum that is recognised as being proof of ability to study for the cycle for which they are applying for.
- 2. Applicants to study for an ISEG PhD are selected by the respective Scientific Committee, and, in the case of PhDs taught in association, by the corresponding competent bodies.
- 3. Those applicants who satisfy the access conditions for the PhD study cycle can request to defend their thesis at a public *Viva Voce* examination, without being enrolled for the study cycle, or without having a supervisor, under the conditions stipulated in Article 18 of these Rules and Regulations.



## **Places**

- 1. The maximum number of applicants to be admitted is proposed each year by the Scientific Committee of each PhD that is exclusively taught by ISEG, or by the competent body of PhDs taught in association, and fixed by deliberation emitted by the Dean of ISEG or by a joint deliberation of the group of associated universities, after approval by ISEG's Scientific Board..
- 2. The teaching of ISEG's PhDs is conditional on the registration and enrolment of a minimum number of students, which is defined each year by the Dean of ISEG on the proposal of the Scientific Committees of the study cycles, after hearing the opinion of ISEG's Scientific Board.

#### Article 7

## Norms and application deadlines

- 1. Applicants to study for a PhD must formalise their application by submitting a request to the Scientific Board or to the competent bodies in the case of PhDs taught in association.
  - 2. The application must be accompanied by the following elements:
  - a) Documents proving that the applicant fulfills the conditions referred to in Article 5;
  - b) An up-to-date Curriculum Vitae, which should include all published scientific work;
  - c) A PhD research proposal, which should indicate the objectives to be achieved;
- d) Other requested elements, or those which are considered to be useful for the application, including a motivation letter.
- 3. The deadlines for applications are fixed each year by the Dean of ISEG, or by the competent bodies for PhDs taught in association.

#### Article. 8

## Ranking and selection criteria of applicants

- 1. Applicants for PhD study cycles are selected by merit, on examination of the documents referred to in the previous Article.
- 2. An overall evaluation of the academic curriculum of applicants for the study cycles is carried out, during which the following criteria will be considered which are disclosed at the start of the process:
  - a) Academic degree grade;
- b) Evaluation of the academic, scientific and technical curriculum, valorising experience and the ability to carry out advanced research;



- c) Evaluation of the PhD research proposal and also the motivation letter, if requested;
- *d*) Interviews with the applicants, when considered necessary, with the objective to evaluate both the students' qualities and their vocation and availability to carry out research in the area that they intend to study.
- 3. Before the definitive ranking, the applicants will be heard, as established in the Administrative Procedure Code.
- 5. Taking into consideration the applicant's curriculum, the Scientific Committee can recommend the need to study for and pass postgraduate course units which are not part of the syllabus of the respective PhD, in order to ensure adequate learning for the PhD thesis.

## **CHAPTER IV**

# **Functioning**

#### Article 9

## Registration and enrolment

- 1. Admitted applicants must register in accordance with the calendar that is published annually.
- 2. Enrolment for a PhD degree implies the payment of tuition fees, which are set each year, on the proposal of the Dean of ISEG in consultation with the Management Board, in accordance with the terms of the Universidade de Lisboa Tuition Fees Rules and Regulations.
- 3. Enrolment is for a whole year, and thus must be renewed every year up until the date of submission of the draft thesis.
- 4. A student can request the annulment of their enrolment, which does not exempt them from the obligation to pay all outstanding tuition fees payments.

#### Article 10

## Rights and obligations of PhD students

- 1. ISEG students are considered to be those who are validly enrolled in one of the study cycles.
- 2. ISEG student status is lost when, during a given academic year, the student does not enrol in course units of any degree or fails to pay their tuition fees, which does not prejudice the right established in the Tuition Fees Rules and Regulations to request the annulment of their registration.



- 3. Student status is also lost when their registration is annulled before the deadlines stipulated by Law or by the relevant internal rules and regulations.
  - 4. Students have the right to:
- a) Attend lectures and other associated academic activities of the course units for which they are validly enrolled and benefit from tutoring from those members of faculty responsible for these curricular units:
- b) Have their knowledge validated in the subjects of the course units referred to in Sub-section a);
- c) Use the Library and other teaching support facilities at ISEG, respecting their respective rules and regulations of usage;
  - 5. Students are obliged to:
  - a) Be responsible for their own research and learning activities;
- *b*) Comply with the General Rules and Regulations for Knowledge Assessment, participating in the various established stages of assessment, in an appropriate and timely manner;
  - c) Discuss, on a regular basis, their study plan and activities timetable with their supervisor;
  - d) Meet the deadlines for handing in or presenting work to their supervisor;
- e) Make an effort to present their thesis by the deadline stipulated in the respective Rules and Regulations.
- 6. PhD students have the right and the duty to participate in the activities of their host research units for the preparation of their thesis, in accordance with the centres' respective rules and regulations and the decisions of their governing bodies.
- 7. In addition to those duties determined by Law, students' duties are governed by the Code of Conduct and Good Practice of Universidade de Lisboa and by the applicable Rules and Regulations of ISEG.

## Maximum and minimum years of enrolment

- 1. The PhD study cycles have a minimum duration equal to the number of academic years that make up the totality of the respective PhD syllabus, when it exists, and the writing of a thesis or equivalent work.
- 2. The PhD study cycles have a maximum duration equal to the sum of the duration of the PhD syllabus, when it exists, with a five years limit for the validity of the registration of the thesis, without prejudice to the suspension time frames provided for in the Law and in these Rules and Regulations.
- 3. Study attendance can be on a part-time basis in accordance with the Rules and Regulations for Part-Time Student Study at Universidade de Lisboa.



4. For the purposes of the previous section, the number of years for which a PhD student can be enrolled on a part-time basis cannot exceed four years, whereby a year of part-time study equates to a half-year of full-time study for calculating the maximum and minimum length of study.

#### Article 12

## Knowledge assessment system

- 1. The assessment of the PhD syllabus is graded on a numerical scale of 0 to 20, and also, on request, by the equivalent of the European ECTS grading, in accordance with Section II (Articles 18 to 22) of Decree-Law No. 42/2005, of the 22<sup>nd</sup> of February, altered by Decree-Law No 107/2008, of the 25<sup>th</sup> of June.
- 2. Those students who pass may also be attributed a qualitative grade of *Satisfactory* (10-13), *Good* (14-15), *Very Good* (16-17), and *Excellent* (18-20).
- 3. The final grade of the PhD syllabus is calculated according to the following formula: weighted arithmetic mean, calculated up to hundredths and rounded off at the end of the units for those course units that the student was enrolled for. The weighting unit is the number of ECTS assigned to each course unit.

#### Article 13

## **Credits**

- 1. Credits for knowledge acquired in other degree cycles from other Portuguese or foreign higher education establishments are attributed in accordance with the legislation and rules and regulations in force, namely the RJGDES and the Regulation for Credits and Curricular Integration of Professional and Learning Experience of Universidade de Lisboa.
- 2. The attribution of the afore-mentioned credits in the previous section is the responsibility of the Scientific Board, on proposal by the Scientific Committee of each study cycle.

## Article 14

#### **Tuition fees**

- 1. Tuition fees of the study cycles are fixed by the General Board of Universidade de Lisboa.
- 2. The amount of the tuition fees is published each year by convenient means.
- 3. Tuition fees can be paid in one payment on enrolment, or in instalments, according to the terms to be defined by the Management Board of ISEG.



- 4. In addition to tuition fees, each student also has to pay administrative fees, as stipulated in the fees table in force at ISEG.
- 5. Those students who apply for scholarships from FCT (Foundation for Science and Technology) or other public or private institutions, be they Portuguese or foreign, are obliged to pay their respective tuition fees when the list of successful scholarship candidates is published.
- 6. Tuition fees for part-time students are a proportional amount of normal tuition fees, as defined by the Management Board of ISEG.
- 7. A breach of the obligation to pay tuition fees is considered to be when a tuition fees instalment is not paid on registration or enrolment, or when payment instalments are not paid by the respective deadline, as stipulated in the Tuition Fees Rules and Regulations of Universidade de Lisboa.

## **CHAPTER V**

# Final work, supervision, and presentation

#### Article 15

## Final work forms

- 1. The final work for a PhD is an original thesis, which can be prepared in the following form:
- a) A monographic dissertation, which is specifically designed for this purpose, and which is considered to be publishable in terms of quality either as a whole, or in part;
- b) A coherent series of research works, in the form of a minimum of three papers or book chapters, which are connected by a common theme, together with a general introduction and a conclusion, as well as other connecting works which attest to the coherence of the series, whilst avoiding any overlap of content among the different works presented.
- 2. The works included in a dissertation in the format indicated in Sub-section b) of the previous section can already have been published or accepted for publication during the PhD study cycle enrolment period.
- 3. The works referred to in the previous section can have been published in co-authorship, provided that the PhD student's contribution is duly explained in a specific annex to the thesis and if it is relevant; in these circumstances, at least one of the works the thesis must have been of the exclusive authorship of the PhD student.



4. Should any of the works already have been published or accepted for publication, then the author must attach the authorisation of any co-authors and editors of the publication in question giving permission for them to be considered an integral part of the thesis.

#### Article 16

## Special regime for presenting the final work

- 1. In accordance with Article 33 of the RJGDES, PhD students who meet the access conditions for the PhD study cycle may request to defend their final work at a public *Viva Voce* examination, without having to enrol in the study cycle, and without supervision, by means of a formal application to the Scientific Board.
- 2. After due appreciation of the PhD student's curriculum and the adequacy of the documents presented for the field of knowledge of the PhD study cycle, the Scientific Board may admit such students in a justified manner; the Scientific Board will request the opinion of the Scientific Committees of the study cycles regarding the application.
- 3. The presentation of final PhD work under this special regime is subject to the payment of fees, as stipulated by the deliberation of the Dean of ISEG.

#### Article 17

## Intellectual property

- 1. The copyright of the final work belongs to the PhD student.
- 2. Without prejudice to the previous section, ISEG and ULisboa may freely use the title and abstracts of the final work and allow full consultation of the same through their documentation repository services and libraries.
- 3. If the research carried out by the PhD student for their final work results in the production of products or systems that are subject to industrial property and/or copyright legal protection, then the ownership of the respective rights belongs jointly to the PhD student, the supervisor, and ISEG.
- 4. The terms of the commercial exploitation of the products or systems referred to in the previous section are subject to an independent agreement between the PhD student, the supervisor, and ISEG, as well as the terms for the distribution of any revenue from this exploitation.



#### Supervision

- 1. The work leading to the preparation of the final work must be carried out under the supervision of a researcher or professor with a PhD, or a specialist in the scientific area of the PhD, who is designated by the Scientific Board on the proposal of the Scientific Committee of the study cycle, having been accepted by the PhD student.
- 2. The Scientific Board and the PhD Scientific Committee decide about situations of cosupervision, which should be limited to the participation of a maximum of three members of the supervisory team, who must all comply with the requirements stipulated in Section 1, with one of them obligatorily having to be a researcher or professor employed by ULisboa.
- 3. The supervisor may, at any time, submit a request to the Scientific Board to resign from their supervisory duties, on presentation of a duly substantiated justification, and similarly, PhD students can submit a duly-substantiated request for their supervisor to be changed, with the express acceptance of the proposed new supervisor.
- 5. After consultation with the Scientific Committee of the PhD, the Scientific Board is responsible for analysing and deciding upon duly-substantiated requests for the resignation or change of supervisor.
  - 6. The rulings of the previous sections are equally applicable for cases of co-supervision.

## Article 19

## Registration of the final work

- 1. PhD theses are registered by the PhD student, within 60 working days after the conclusion of their PhD syllabus.
- 2. The registration of the thesis or equivalent work is carried out ech year by ISEG, in accordance with the terms of Decree-Law No. 52/2002 of the 2<sup>nd</sup> of March.
- 3. The registration of the thesis or equivalent work lasts for five years, which is non-extendable and thus safeguards the situations of suspension provided for in the REPGUL and in these Rules and Regulations.



#### Conditions for the preparation of the final work

- 1. The supervisor must supervise the PhD student effectively and actively in their research and in the preparation of their final work, without prejudice to the academic freedom of the student or to their right to defend their own scientific points of view.
- 2. The PhD student must keep the supervisor regularly informed of the progress of their work, in accordance with the terms agreed between them.
- 3. The supervisor must present to the Scientific Committee of the PhD an annual written report on the progress of the work carried out by the student, based on the information provided by the student.
- 4. The Scientific Committee determines the formal parameters for annual assessment following the registration of the thesis; it is this assessment that determines the decision regarding the student's progression in the study cycle.
- 5. In cases where it is not recommended that the student proceeds to the next academic year, it is then up to the Scientific Board to decide in the case of an appeal.

#### Article 21

## Deadline for submitting the draft manuscript

A draft version of the thesis or equivalent work must be submitted by the last working day of September of the academic year in which the maximum duration of the PhD comes to an end, without prejudice to extension and suspension periods provided for in these Rules and Regulations.

#### Article 22

## Suspension of deadlines

- 1. Registration and enrolment for a PhD study cycle runs out after five years from the date of registration of the thesis, without prejudice to the suspension of deadlines resulting from:
  - a) Parenthood;
- b) Medically-attested severe and prolonged illness that prevents the advance of the work of the PhD student, with an indication of its expected duration;
- c) Assistance for a close family member who is suffering from medically-attested severe and prolonged illness, with an indication of its expected duration.



- 2. A request for the suspension of the deadline must be submitted by the PhD student to the Scientific Board, indicating the start and finish dates of the facts in question, accompanied by respective supporting documentation.
- 3. PhD Students can only benefit from the provisions of Section 1 if they are not liable for the payment of any outstanding tuition fees. This situation does not absolve them from the obligation to pay tuition fees, and this must be carried out in accordance within the stipulated terms and deadlines.
- 4. Extra time which is equivalent to the duration of suspension is added to the stipulated deadline for submitting these works, without payment of an additional tuition fee.

## Presentation and submission of the final work

- 1. The following should appear on the cover of the final work: the name of Universidade de Lisboa and ISEG; the title; a mention that it is a *Provisional document*; the field of knowledge; and, if applicable, the specialty of the PhD; the name of the author; the name/s of the supervisor/s; the year of completion; and an indication that the document has been especially prepared for the purpose of obtaining a PhD degree.
- 2. The final work must be written in the official language of the degree, with exceptions only being admitted if they are authorised by the Scientific Board.
- 3. The final work must include abstracts in both Portuguese and another official language of the European Union, with a maximum of 300 words each, and also up to five key words in both Portuguese and another official language of the European Union.
- 4. When the final work is not presented in Portuguese, it must be accompanied by a summary in Portuguese, of between 1,200 to 1,500 words.

#### Article 24

## International agreements

- 1. Under the current legislation and the REPGUL, the international regime applies to students who study for part of a component of their PhD thesis degree at a foreign university which is recognised by ULisboa, under the supervision of at least one professor from each university, as previously agreed between the participating universities and the PhD student.
- 2. The working period for the study of a part of the thesis at the participating university should occur after the signature of the agreement and cannot be less than 30% of the period stipulated for the completion of the thesis.



- 3. The working period for the study of a part of the thesis at a participating university has a minimum duration of one academic year (with at least nine months of actual presence), which can, if duly justified, correspond to intercalated periods.
- 4. The PhD student must be regularly enrolled for the PhD degree at the two participating universities, and pay the respective tuition fees and charges in accordance with the terms established in the agreement.
- 5. The working period at each of the participating universities is carried out under the responsibility of the supervisor of the respective university, in conjunction with the supervisor of the other university.
- 6. The language in which the thesis is written is stipulated in the agreement, and the title of the thesis should include the identification of the participating universities, the title of the thesis, the name of the PhD student and of the supervisors, the identification of the PhD degree, and the year of completion of the final work.
- 7. The legal and regulatory provisions in force at the two participating universities are taken into account when selecting the members of the examination panel.
- 8. The PhD student will only sit one examination at the university which the parties agree will be the location where the student will defend their thesis, and the results will be recognised by the two universities.
- 9. The award of a PhD degree is conferred by the two universities where the PhD student is enrolled, after having passed the *Viva Voce* public examination to defend their thesis, and after complying with all other requirements in force at each of the universities.
- 10. The PhD degree diploma is issued separately by each university and should mention the name of the corresponding partner university as a partner in the preparation of the doctoral thesis.

## **CHAPTER VI**

# Viva Voce examinations and the examination panel

## Article 25

## **Admission for examinations**

1. Subject to the penalty of preliminary dismissal, when applying for admission to publically defend their final work at a *Viva Voce* examination, the PhD student must submit the following information to ISEG's Masters and PhD Office:



- a) 1 copy in non-editable digital format of their thesis, or equivalent work;
- b) 1 copy in non-editable digital format of an updated Curriculum Vitae;
- c) A declaration regarding the availability of digital consultation at the Digital Repository of Universidade de Lisboa.
- 2. When applying for admission for examinations, the respective fees must be paid, in accordance with the fee table in force.

#### Composition of the examination panel

- 1. The final work is the subject of public examination and discussion by an examination panel, which is appointed under the terms of Article 35 of the REPGUL, on the proposal of the Scientific Board, after consultation with the Scientific Committee of the study cycle.
  - 2. The PhD examination panel is comprised of:
- *a*) The Rector, who chairs the examination panel, or a substitute to whom powers are delegated for this purpose. This role cannot be attributed to the supervisor;
  - b) A minimum number of four PhD holders, one of them being the supervisor.
- 3. If the study cycle is taught in association with foreign universities, a supervisor from each university can be a member of the examination panel, in which case the panel is comprised of a minimum of six PhD holders (in accordance with Article 34, section 3 of Decree-Law 65/2018 of the 16<sup>th</sup> of August).
- 4. At least two of the members of the examination panel referred to in Section 2, sub-section b) are appointed from among professors and researchers from other universities or research institutions, be they national or foreign. External supervisors cannot be selected for this role.
- 5. An individual of recognised competence in the scientific area of the final work can also be a member of the examination panel.
- 6. The examination panel must include at least three professors or researchers from the scientific area of the final work.

## Article 27

## Appointment of the members of the examination panel

- 1. The Scientific Board proposes the selection of the examination panel within 30 working days of the submission of the thesis or equivalent work.
- 2. The Rector, or the person to whom this competence is delegated, appoints the examination panel within 10 working days.



- 3. The deliberation regulating the appointment of the members of the examination panel must be communicated in writing to the PhD student and to ISEG and it is published on the ULisboa portal.
- 4. On appointment, a copy of the draft final work is made available to each member of the examination panel.

## Acceptance of a thesis

- 1. Within 60 working days of the announcement of the appointment of the examination panel, the chair shall convene a meeting to decide on the marking of the examination, the choice of the principal examiners, the distribution of the order of procedures, and the time for the defence, or, alternatively, the justified recommendation to the student to re-write their final work.
- 2. In lieu of a *Vive Voce* with the examination panel, the chair can request the members to express their views in writing concerning the deliberation referred to in the previous section.
- 3. Should the decisions regarding the conditions for accepting the final work and the order and respective times of the procedures for the defence be passed unanimously, then the examination panel will meet before the beginning of the *Viva Voce* public defence to ratify the decisions agreed upon.
- 4. If there is no unanimity, then the chair of the examination panel will convene the *Vive Voce* provided for in Section 1, which can be held in person, or through simultaneous distance communication, namely by means of a teleconference.
- 5. Should the examination panel issue a justified recommendation that the thesis be re-written, then the PhD candidate has a non-extendable period of 120 working days to do so, during which time they can either re-write their work, or declare that they intend to maintain it as it is.
- 6. After the expiry of the period referred to in the previous section, should the candidate fail to re-write their thesis, or if they did not declare that they intended to maintain it as it is, then it is assumed that the PhD student decided to abandon their PhD studies, and their respective registration is annulled.

## Article 29

## Deadlines for booking the Viva Voce public defence examination

The booking of the *Viva Voce* public defence examination is carried out by publishing a public notice which is duly signed by the chair of the examination panel, within 30 working days from the date on which the final work was accepted by the examination panel, or on which the re-written version was submitted.



#### Viva Voce public defence examination

- 1. The *Viva Voce* public defence examination, whose total duration should not exceed 150 minutes, consists of the presentation and public discussion of the final work, and it can only take place in the presence of the chair and more than half of the other members of the examination panel.
- 2. Before the start of the discussion, the PhD student is given a period of time to present their final work, which should last no more than 30 minutes.
- 3. The period of public discussion of the final work then follows, with a maximum duration of 120 minutes, during which all the members of the examination panel are given the opportunity to intervene, respecting pre-agreed time allocations. Members of the examination panel cannot use more than half the time available for the discussion.
- 4. Should they so wish, the chair of the examination panel may choose to give the floor to the supervisor, who is not a member of the examination panel.
- 5. The PhD candidate has the same amount of time available for discussion as that made available to the members of the examination panel.
- 6. The chair of the examination panel only participates in the discussion if they are from the scientific area in question.
- 7. The *Viva Voce* public act of defence can be carried out in the language in which the degree is taught, or in another official language of the European Union, provided that they are understood by the PhD candidate and by the members of the examination panel.

## Article 31

## Deliberations of the examination panel

- 1. Once the *Viva Voce* examination has been completed, the examination panel meets to consider and deliberate on the final assessment of the PhD candidate.
- 2. The deliberations of the examination panel are approved by a simple majority of its members, by means of normal justified voting. Abstention is not permitted.
- 3. The chair of the examination panel only participates in the deliberations if they are from the scientific area in question.
  - 4. In the event of a tie, the chair of the examination panel has a casting vote.
- 5. Minutes of the meetings of the examination panel are taken, including the results of the voting of each of its members and their respective justification, which may be the same for all, or certain members of the examination panel.



- 6. Any corrections to the final work requested by the examination panel following its public discussion are included in an appendix, which is added to the examination minutes.
- 7. The final work becomes definitive after the examination, or after confirmation by the chair of the examination panel that the requested corrections have been introduced.
- 8. The candidate has 30 working days to submit a printed or photocopied copy and a non-editable digital copy of the definitive version of the work.

## **CHAPTER VII**

# Final grade and diplomas

#### Article 32

## Process for the attribution of the final grade

- 1. The final grade of the PhD degree is attributed by the examination panel, with the following classifications: *Approved* or *Approved with Distinction*, taking into account the grades obtained in the curricular units of the PhD degree, when they exist, and the merit of the final work, as assessed during the *Viva Voce* public examination.
- 2. Whenever the classification of *Approved with Distinction* is awarded unanimously, the examination panel may decide to assign the classification of *Approved with Distinction cum Laude*, although this qualification can only be awarded unanimously.
- 3. In order to be awarded the classification of *Approved with Distinction cum Laude*, under the terms of Article 38, section 3 of the REPGUL, the works of the candidate and their thesis must demonstrate a level of outstanding relevance, which preferably meets the following requirements:
- a) Research results are reported in the thesis which contribute significantly to furthering the frontiers of knowledge in the field of study;
- b) A final average grade for the PhD syllabus which is compatible with the consideration for the award of this classification;
- d) A part, or all of the thesis has been published, or is accepted for publication, in high-quality scientific journals or in books published by publishers with an international academic reputation.



## Diploma, Diploma Supplement, and PhD certificate

- 1. Passing of the PhD syllabus implies the award of a registration certificate, which is generally known as a diploma, which is emitted by ISEG's Masters and PhDs Office within 10 working days maximum, and which must include the result of the final assessment.
- 2. The award of a PhD is attested by a registration certificate, which is generally known as a diploma, and also by a PhD certificate, which is optional, accompanied by the diploma supplement. These documents are requested at ISEG, and are issued by the services of the Rector's Office of Universidade de Lisboa, within 30 working days maximum.

#### Article 34

## Content of Diplomas and PhD certificates

The content of diplomas and PhD degree certificates must follow the guidelines of Deliberation No. 9753/2013 of the 24<sup>th</sup> of July, published in the *Diário da República* official gazette, 2<sup>nd</sup> Series, No. 141 of the 24<sup>th</sup> of July, 2013.

#### Article 35

# Title of a European PhD degree

In cases, and under the conditions stipulated in ULisboa's own rules and regulations, when requested mention may be made of the title of a European PhD Degree in the registration certificate, as well as in the completion certificate or in the PhD certificate.

## **CHAPTER VIII**

# Final dispositions

## Article 36

# Specific regulations for PhD degrees

The Scientific Board can approve specific regulations for each PhD degree on the proposal of the respective Coordinating Committee, specifically establishing a limit for the size of the final works.



## **Omitted cases and doubts**

Omitted cases and doubts will be analysed and resolved by the Scientific Board, after consultation with the Scientific Committees of the degree in question.

## Article 38

# **Entry into force**

These Rules and Regulations will enter into force on the day after they are published in the *Diário da República* official gazette.



# Appendix 1

The study cycles syllabus and study plans referred to in Article 1, section 2 are those included in the registration and publication in the *Diário da República* official gazette, namely:

- a) PhD in Economics, registered at the DGES with No. R/A-Ef2105/2011/AL02 of the 3<sup>rd</sup> of October, 2019, accredited by A3ES with file number ACEF/1314/15827 of the 10<sup>th</sup> of September, 2015, altered by Deliberation No. 10406/2019 published in the *Diário da República* official gazette, 2<sup>nd</sup> Series, No. 219 of the 14<sup>th</sup> of November, 2019;
- b) PhD in Management, published by Deliberation No. 10512/2009 in the *Diário da República* official gazette, 2<sup>nd</sup> Series, No. 78 of the 22<sup>nd</sup> of April, 2009, registered at the DGES with No. R/A-Ef2107/2011 of the 18<sup>th</sup> of March, 2011, accredited by A3ES with file number ACEF/1213/15832 of the 6<sup>th</sup> of November, 2014;
- c) PhD in Economic and Social History, published by Deliberation No. 10515/2009 in the *Diário da República* official gazette, 2<sup>nd</sup> Series, No. 78 of the 22<sup>nd</sup> of April, 2009, registered at the DGES with No. R/A-Ef2108/2011 of the 18<sup>th</sup> of March, 2011, accredited by A3ES with file number ACEF/1314/15842 of the 10<sup>th</sup> of September, 2015;
- d) PhD in Applied Mathematics for Economics and Management, registered at the DGES with No. R/A-Ef2109/2011/AL01 of the 19<sup>th</sup> of November, 2015, accredited by A3ES with file number ACEF/1314/15837 of the 3<sup>rd</sup> of March, 2015, altered by Deliberation No. 912/2016, published in the *Diário da República* official gazette, 2<sup>nd</sup> Series, No. 12 of the 19<sup>th</sup> of January, 2016;
- e) PhD in Economic and Organisational Sociology, registered at the DGES with No. R/A-Ef2110/2011/AL01 of the 23<sup>rd</sup> of February, 2016, accredited by A3ES with file number ACEF/1314/15847, of the 17<sup>th</sup> of April, 2015, altered by Deliberation No. 5217/2016, published in the *Diário da República* official gazette, 2<sup>nd</sup> Series, No. 75 of the 18<sup>th</sup> of April, 2016.